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The Gazette of the Democratic Socialist Republic of Sri Lanka

EXTRAORDINARY

අංක **2515/21 - 2026** නොවැම්බර් මස **19** වැනි බ්‍රහස්පතින්දා - **2026.11.19**

No. 2515/21 - THURSDAY, NOVEMBER 19, 2026

(Published by Authority)

PART I : SECTION (I) — GENERAL

Government Notifications

L.D.-B 5/2025

SRI LANKA ELECTRICITY ACT, No. 36 OF 2024

REGULATIONS made by the Minister of Energy under section 50 of the Sri Lanka Electricity Act, No.36 of 2024 read with subsection (1) of section 21 of the aforesaid Act.

Anura Karunathilaka,
Minister of Energy.

Colombo,
18th November, 2026.

Regulations

1. These regulations may be cited as the Electricity (Applications for Licences) Regulations of 2026.

2. An application for the grant or an extension of -

(*a*) a generation licence to generate electricity shall be in such form as specified in Part I of Schedule A to these regulations and be accompanied by the documents and information as specified in Part II of that Schedule;

(*b*) a captive generation licence to generate electricity primarily for the own use of licensee, shall be in such form as specified in Part I of Schedule B to these regulations and be accompanied by the documents and information as specified in Part II of that Schedule;

(*c*) a national system operator licence, shall be in such form as specified in Part I of Schedule C to these regulations and be accompanied by the documents and information as specified in Part II of that Schedule;

(*d*) a national transmission network service provider licence, shall be in such form as specified in Part I of Schedule D to these regulations and be accompanied by the documents and information as specified in Part II of that Schedule;

(*e*) an additional transmission licence, shall be in such form as specified in Part I of Schedule E to these regulations and be accompanied by the documents and information as specified in Part II of that Schedule;

(*f*) an electricity line licence, shall be in such form as specified in Part I of Schedule F to these regulations and be accompanied by the documents and information specified in Part II of that Schedule;

(*g*) a distribution and supply licence, shall be in such form as specified in Part I of Schedule G to these regulations and be accompanied by the documents and information as specified in Part II of that Schedule; and

(*h*) a trading licence, shall be in such form as specified in Part I of Schedule H to these regulations and be accompanied by the documents and information as specified in Part II of that Schedule.

3. A trading licence shall be applicable only to –

(*a*) entities engaged in the resale of electricity within condominiums and high-rise buildings where such resale is confined to specified premises, assets, or consumers;

(*b*) entities engaged in the resale of electricity through electric vehicle charging stations; and

(*c*) entities engaged in the purchase, storage, and subsequent resale of electricity through Battery Energy Storage Systems (BESS).

4. Every application submitted under these regulations shall accompany a signed declaration in the form as specified in Schedule I to these regulations, and such application shall be made to the Public Utilities Commission of Sri Lanka (hereinafter referred to as the "Regulator").

5. The Regulator shall have the power at its sole discretion at any time after the receipt of an application, to call upon an applicant to provide any information, documents, clarifications that it may, consider necessary on the contents of the application submitted, for the purpose of processing such application.

6. The application fee payable in respect of applications made for the issue or a grant of an extension of the following licences, shall be as follows: -

(*a*)	for a generation licence	-	Rs. 50,000.00
(*b*)	for a captive generation licence	-	Rs. 50,000.00
(*c*)	for a national system operator licence	-	Rs. 50,000.00
(*d*)	for a national transmission network service provider licence	-	Rs. 50,000.00
(*e*)	for an additional transmission licence	-	Rs. 50,000.00
(*f*)	for an electricity line licence	-	Rs. 50,000.00
(*g*)	for a distribution and supply licence	-	Rs. 50,000.00
(*h*)	for a trading licence (except for condominium projects)	-	Rs. 50,000.00
(*i*)	for a trading licence (in respect of condominium projects)	-	Rs. 10,000.00

7. Application fees shall be paid to the Regulator by cash or by an acceptable electronic payment method or bank draft, drawn in favour of the "Public Utilities Commission of Sri Lanka" and documentary proof of such payment shall be submitted along with the application.

8. In these Regulations, unless the context otherwise requires:

"Act" means the Sri Lanka Electricity Act, No. 36 of 2024;

"applicant" means the person or body of persons who is or are making an application under the provisions of the Act for the grant or an extension of a licence, as the case may be;

"high rise building" means a building structure comprising of independent units or parcels with common elements and shared services, served by a primary utility intake point and where common utilities including electricity are distributed, metered, or resold internally to individual unit owners or occupiers; and

"Public Utilities Commission of Sri Lanka" means, the Public Utilities Commission of Sri Lanka established under the Public Utilities Commission of Sri Lanka Act, No. 35 of 2002.

9. The Electricity (Applications for Licences and Exemptions) Regulation, 2009, published in *Gazette Extraordinary* No. 1617/34 of September 3, 2009 is hereby rescinded without prejudice to anything previously duly done thereunder.

SCHEDULE A

PART I

Application Form for the Issue of a Generation Licence

1. Name of the applicant:

2. The legal status of the applicant:

3. Official address and the contact information of the applicant:

4. Name, address and place of incorporation of a parent company (if any):

5. Full names of all the current members of the governing body of the applicant:

6. The names of all the shareholders of the company and the number of shares held by each such member, if applicable:

7. Details of the ultimate beneficial owner(s) of the applicant, if different from the shareholders listed above, if applicable:

8. Details of any direct or indirect ownership, control, or affiliation with entities engaged in electricity transmission, distribution, trading or procurement:

9. Name and designation of the person to whom enquires may be addressed in relation to this application, together with an address for correspondence (if different from item 3 above) and other contact details:

10. Desired date on which the licence is to become operative:

11. Details of approvals obtained or proposed to be obtained, and agreements executed or proposed to be executed, in respect of the activities for which the licence is being applied:

12. A list of the administrative districts in which the generating plant(s) and associated infrastructure are or will be located:

13. Whether the licence is being applied for the construction of a new plant or for the expansion of an existing plant or extension of the operation of an existing plant:

14. Provide details of any previous or current application made by the applicant for the issue of a licence under the Sri Lanka Electricity Act, No. 20 of 2009 and the Sri Lanka Electricity Act, No. 36 of 2024, and where applicable, state -

    (*a*) where a licence was issued, details of the licence granted, and all activities carried out by the applicant under such licence;

    (*b*) where an application was rejected, the reasons for such rejection;

    (*c*) where a licence was revoked or withdrawn, the reasons for such revocation or withdrawal; and

    (*d*) where an application is pending or under consideration, the current status of such application.

PART II

Documents to Accompany an Application made for the Issue of a Generation Licence

1. If the applicant is -

   (*a*) a body established by an Act of Parliament, a copy of such Act;

   (*b*) a company, a certified copy of the certificate of incorporation issued and of the Memorandum and Articles of Association of the company;

   (*c*) a society registered under the Co-operative Societies Law, No. 5 of 1972, a certified copy of the certificate of registration issued and of the by-laws of such society;

   (*d*) a partnership, a certified copy of the certificate of registration issued by an appropriate authority under any law or Statute relating to the registration of business names; or

   (*e*) any unincorporated body of persons, the constitution of such unincorporated body.

2. The following documents as and where applicable -

   (*a*) annual returns for the immediately preceding year;

   (*b*) statement of account for the current year; and

   (*c*) audited accounts of the previous two years.

3. Details of technical expertise and human resources available with the applicant, including key operational personnel, to enable it to carry on its activities in respect of which the licence is being applied for;

4. Estimates of net annual cash flows, including costs, sales, and revenues, for the entire duration of the licence, sufficient to demonstrate the financial viability and feasibility of the activities under such licence;

5. Details of project financing and available funding for the duration of the licence, adequate to support the applicant in carrying out the proposed activities, together with the assumptions on which the figures are based;

6. Details of any expected substantial capital outflows, including major rehabilitation or decommissioning costs;

7. Any parent company or bank guarantees in favour of or given by the applicant;

8. A statement outlining how the applicant proposes to comply with and adhere to all applicable technical and regulatory instruments, including regulations, rules, codes, methodologies, standards, manuals, and similar requirements;

9. Provide details of the applicant's internal safety governance arrangements in relation to the proposed activities, including -

   (a) the overall safety management framework and policies in place;

   (b) the organizational structure for safety, clearly identifying roles, responsibilities, and lines of accountability; and

   (c) the systems and procedures established for the identification, reporting, investigation, and review of electrical accidents, incidents, and safety-related non-compliances, including mechanisms for corrective and preventive actions.

10. A statement describing any lands and servitudes which the applicant has acquired or proposes to acquire in relation to the proposed activities;

11. Details of the generating plant(s) and proposed operations –

   (a) name(s) of the specific generating plant(s) intended to be operated under the licence, including whether the plant comprises a single technology or a combination of generation and energy storage components (where applicable);

   (b) location of each generating plant, with an adequate description sufficient to clearly identify the site, including reference to town, administrative district, postal address, and supported by map or maps identifying the location of all generating plants to which the application relates;

   (c) description of the method of generation, including details of how each plant will be fueled or driven, specifying both primary and backup fuel sources (where applicable);

   (d) proposed generation capacity (MW) for which the licence is being applied for, and the maximum power (MW) expected to be available from each generating plant at any given time, clearly indicating any contribution from energy storage systems, where applicable;

   (e) rated capacity (MW), type, number of generating units, and the capacity of each generating unit within each generating plant, including a general identification of the technology type (*e.g.* renewable, thermal, fuel-cell-based, storage-assisted);

   (f) aggregate energy output (GWh) expected to be available from each generating plant during any given year, excluding energy expected to be consumed at the plant;

   (g) estimated auxiliary electricity consumption of each generating plant, expressed in: absolute terms (GWh per year), and percentage (%) of gross electricity generation, including a brief description of the major auxiliary loads (such as pumps, fans, compressors, control systems and other station services);

(*h*) grid and system interface details of each generating plant, including the proposed point of connection to the transmission or distribution network, connection voltage level, interface facilities, and any grid-related infrastructure required for the evacuation of power;

(*i*) expected commissioning date or dates of each generating plant (or, where already commissioned, the actual commissioning date or dates), including any phased commissioning of generation or storage components;

(*j*) expected operational life span of each generating plant and generation station, including any major components such as energy storage systems or fuel handling facilities, where applicable;

(k) a quantified statement of inputs to and discharges from the environment from each generating plant, together with the sources of such inputs and discharges, including, where applicable, emissions, waste streams, and other environmental interactions arising from fuel use, energy storage, or fuel handling activities;

(l) proposed commercial arrangement for the sale of generated electricity, including the counterparty and consistency with the electricity market structure under the Sri Lanka Electricity Act, No. 36 of 2024.

SCHEDULE B

PART I

Application Form for the Issue of a Captive Generation Licence

1. Name of the applicant:

2. The legal status of the applicant:

3. Official address and the contact information of the applicant:

4. Name, address and place of incorporation of a parent company (if any):

5. Full names of all the current members of the governing body of the applicant:

6. The names of all the shareholders of the company and the number of shares held by each such member, if applicable:

7. Details of the ultimate beneficial owner(s) of the applicant, if different from the shareholders listed above, if applicable:

8. Details of any direct or indirect ownership, control, or affiliation with entities engaged in electricity transmission, distribution, trading or procurement:

9. Name and designation of the person to whom enquires may be addressed in relation to this application, together with an address for correspondence (if different from item 3 above) and other contact details:

10. Desired date on which the licence is to become operative:

11. Details of approvals obtained or proposed to be obtained, and agreements executed or proposed to be executed, in respect of the activities for which the licence is being applied for:

12. A list of the administrative districts in which the generating plant(s) and associated infrastructure are or will be located:

13. Whether the licence is being applied for the construction of a new captive generation plant or for the expansion of an existing captive generation plant or extension of the operation of an existing captive generation plant:

14. Provide details of any previous or current application made by the applicant for the issue of a licence under the Sri Lanka Electricity Act, No. 20 of 2009 and the Sri Lanka Electricity Act, No. 36 of 2024 and where applicable, state -

(*a*) where a licence was issued, details of the licence granted and all activities carried out by the applicant under such licence;

(*b*) where an application was rejected, the reasons for such rejection;

(*c*) where a licence was revoked or withdrawn, the reasons for such revocation or withdrawal; and

(*d*) where an application is pending or under consideration, the current status of such application.

PART II

Documents to Accompany an Application made for the Issue of a Captive Generation Licence

1. If the applicant is -

(*a*) a body established by an Act of Parliament, a copy of such Act;

(*b*) a company, a certified copy of the certificate of incorporation issued and of the Memorandum and Articles of Association of the company;

(*c*) a society registered under the Co-operative Societies Law, No. 5 of 1972, a certified copy of the certificate of registration issued and of the by-laws of such society;

(*d*) a partnership, a certified copy of the certificate of registration issued by an appropriate authority under any law or Statute relating to the registration of business names; or

(*e*) any unincorporated body of persons, the constitution of such unincorporated body.

2. The following documents as and where applicable -

(*a*) annual returns for the immediately preceding year;

(*b*) statement of account for the current year; and

(*c*) audited accounts of the previous two years.

3. Details of technical expertise and human resources available with the applicant, including key operational personnel, to enable it to carry on its activities in respect of which the licence is being applied for;

4. Estimates of net annual cash flows, including costs, sales, and revenues, for the entire duration of the licence, sufficient to demonstrate the financial viability and feasibility of the activities under such licence;

5. Details of project financing and available funding for the duration of the licence, adequate to support the applicant in carrying out the proposed activities, together with the assumptions on which the figures are based;

6. Details of any expected substantial capital outflows, including major rehabilitation or decommissioning costs;

7. Any parent company or bank guarantees in favour of or given by the applicant;

8. A statement outlining how the applicant proposes to comply with and adhere to all applicable technical and regulatory instruments, including regulations, rules, codes, methodologies, standards, manuals, and similar requirements;

9. Provide details of the applicant's internal safety governance arrangements in relation to the proposed activities, including -

(*a*) the overall safety management framework and policies in place;

(*b*) the organizational structure for safety, clearly identifying roles, responsibilities, and lines of accountability; and

(*c*) the systems and procedures established for the identification, reporting, investigation, and review of electrical accidents, incidents, and safety-related non-compliances, including mechanisms for corrective and preventive actions.

10. A statement describing any lands and servitudes which the applicant has acquired or proposes to acquire in relation to the proposed activities;

11. Details of the captive generating plant(s) and proposed operations -

(*a*) name(s) of the specific generating plant(s) intended to be operated under the licence, including whether the plant comprises a single technology or a combination of generation and energy storage components (where applicable);

(*b*) location of each generating plant, with an adequate description sufficient to clearly identify the site, including reference to town, administrative district, postal address, and supported by map or maps identifying the location of all generating plants to which the application relates;

(*c*) description of the method of generation, including details of how each plant will be fuelled or driven, specifying both primary and backup fuel sources (where applicable);

(*d*) proposed generation capacity (MW) for which the licence is being applied for, and the maximum power (MW) expected to be available from each generating plant at any given time, clearly indicating any contribution from energy storage systems, where applicable;

(*e*) rated capacity (MW), type, number of generating units, and the capacity of each generating unit within each generating plant, including a general identification of the technology type (*e.g.* renewable, thermal, fuel-cell-based, storage-assisted);

(*f*) aggregate energy output (GWh) expected to be available from each generating plant during any given year, excluding energy expected to be consumed at the plant;

(*g*) estimated annual electricity generation allocated to captive consumption (GWh per year), and any surplus electricity proposed to be exported (if permitted), expressed both in absolute terms (GWh per year) and as a percentage (%) of total gross electricity generation;

(*h*) estimated auxiliary electricity consumption of each generating plant, expressed in: absolute terms (GWh per year), and percentage (%) of gross electricity generation, including a brief description of the major auxiliary loads (such as pumps, fans, compressors, control systems and other station services);

(*i*) expected commissioning date or dates of each generating plant (or, where already commissioned, the actual commissioning date or dates), including any phased commissioning of generation or storage components;

(*j*) expected operational life span of each generating plant and generation station, including any major components such as energy storage systems or fuel handling facilities, where applicable;

(*k*) a quantified statement of inputs to and discharges from the environment from each generating plant, together with the sources of such inputs and discharges, including, where applicable, emissions, waste streams, and other environmental interactions arising from fuel use, energy storage, or fuel handling activities;

(*l*) proposed arrangements for selfconsumption of generated electricity, and details of any intended export of surplus electricity (if permitted);

(*m*) if applicable, grid and system interface details of each generating plant, including the proposed point of connection to the transmission or distribution network, connection voltage level, interface facilities, and any gridrelated infrastructure required for the evacuation of power;

(*n*) description of the captive load(s), including the facility or facilities to be supplied, ownership or control relationship between the generating plant and the load, and confirmation that generation is primarily for own consumption.

SCHEDULE C

PART I

Application Form for the Issue of a National System Operator Licence

1. Name of the applicant:

2. The legal status of the applicant:

3. Official address and the contact information of the applicant:

4. Full names of all the current members of the governing body of the applicant:

5. The names of all the shareholders of the company and the number of shares held by each such member:

6. Name and designation of the person to whom enquiries may be addressed in relation to this application, together with an address for correspondence (if different from item 3 above) and other contact details:

7. Desired date from which the licence is to become operative:

8. Details of approvals obtained or proposed to be obtained, and agreements executed or proposed to be executed, in respect of the activities for which the licence is being applied for:

PART II

Documents to Accompany an Application Made for The Issue of a National System Operator Licence

1. A certified copy of the certificate of incorporation issued and of the Memorandum and Articles of Association of the company;

2. The following documents as and where applicable -

   (a) annual returns for the immediately preceding year;

   (b) statement of account for the current year; and

   (c) audited accounts of the previous two years.

3. Details of technical expertise and human resources available with the applicant, including key operational personnel, to enable it to carry on its activities in respect of which the licence is being applied for;

4. A statement outlining how the applicant proposes to comply with and adhere to all applicable technical and regulatory instruments, including regulations, rules, codes, methodologies, standards, manuals, and similar requirements;

5. Provide details of the applicant's internal safety governance arrangements in relation to the proposed activities, including -

   (a) the overall safety management framework and policies in place;

   (b) the organizational structure for safety, clearly identifying roles, responsibilities, and lines of accountability; and

   (c) the systems and procedures established for the identification, reporting, investigation, and review of electrical accidents, incidents, and safety-related non-compliances, including mechanisms for corrective and preventive actions.

6. A statement describing any lands and servitudes which the applicant has acquired or proposes to acquire in relation to the proposed activities;

7. Provide information, supporting documents, and explanatory statements demonstrating how the applicant will technically and operationally perform the functions of the National System Operator, including the following: -

   (a) System Operation Functions -

      (i) real-time system operation, scheduling, dispatch, and balancing of electricity on the National Grid;

      (ii) coordination arrangements with generation licensees, transmission licensees, distribution licensees, and other system users;

      (iii) system monitoring, outage coordination, and short-term and medium-term operational planning.

   (b) System Control and Dispatch Arrangements -

      (i) system control capability for real-time operation;

      (ii) dispatch methodologies and operational decision-making processes;

      (iii) arrangements for maintaining system frequency, voltage control, and operating reserves.

(c) Grid Security, Reliability, and Emergency Management -

(i) grid security and reliability standards applied by the applicant;

(ii) contingency planning and management of system disturbances;

(iii) emergency response and system restoration procedures, including black-start arrangements where applicable.

(d) Metering, Data, and Information Systems -

(i) metering and data acquisition arrangements necessary for system operation;

(ii) supervisory control and data acquisition (SCADA), energy management system (EMS), forecasting, and other Information Technology (IT) systems used for monitoring and control;

(iii) information exchange and communication arrangements with licensees and other system users;

(iv) cybersecurity governance, data confidentiality and resilience arrangements.

(e) System Demand and Energy Forecasting -

(i) projected annual maximum system demand (GW) to be operated and balanced by the National System Operator;

(ii) projected annual energy (GWh) to be operated and balanced;

(iii) methodologies and assumptions used for demand and energy forecasting;

(iv) a list of system users with whom the applicant currently coordinates or proposes to coordinate system operation activities.

8. A statement and supporting documentation demonstrating how the applicant will ensure operational independence, neutrality, and non-discriminatory conduct in the performance of National System Operator functions, including governance arrangements and conflict-of-interest controls;

9. Details of arrangements for regulatory compliance, internal controls and audit of National System Operator activities.

SCHEDULE D

PART I

Application Form for the Issue of a National Transmission Network Service Provider Licence

1. Name of the applicant:

2. The legal status of the applicant:

3. Official address and the contact information of the applicant:

4. Full names of all the current members of the governing body of the applicant:

5. The names of all the shareholders of the company and the number of shares held by each such member:

6. Name and designation of the person to whom enquiries may be addressed in relation to this application, together with an address for correspondence (if different from item 3 above) and other contact details:

7. Desired date from which the licence is to become operative:

8. Details of approvals obtained or proposed to be obtained, and agreements executed or proposed to be executed, in respect of the activities for which the licence is being applied:

PART II

Documents to Accompany an Application made for the Issue of a National Transmission Network Service Provider Licence

1. A certified copy of the certificate of incorporation issued and of the Memorandum and Articles of Association of the company;

2. The following documents as and where applicable -

   (a) annual returns for the immediately preceding year;

   (b) statement of account for the current year; and

   (c) audited accounts of the previous two years.

3. Details of technical expertise and human resources available with the applicant, including key operational personnel, to enable it to carry on its activities in respect of which the licence is being applied for;

4. Estimates of net annual cash flows, including costs, sales, and revenues, for the entire duration of the licence, sufficient to demonstrate the financial viability and feasibility of the activities under such licence;

5. Details of project financing and available funding for the duration of the licence, adequate to support the applicant in carrying out the proposed activities, together with the assumptions on which the figures are based;

6. Details of any expected substantial capital outflows, including major rehabilitation or decommissioning costs;

7. Any parent company or bank guarantees in favour of or given by the applicant;

8. A statement outlining how the applicant proposes to comply with and adhere to all applicable technical and regulatory instruments, including regulations, rules, codes, methodologies, standards, manuals, and similar requirements;

9. Provide details of the applicant's internal safety governance arrangements in relation to the proposed activities, including -

   (a) the overall safety management framework and policies in place;

   (b) the organizational structure for safety, clearly identifying roles, responsibilities, and lines of accountability; and

   (c) the systems and procedures established for the identification, reporting, investigation, and review of electrical accidents, incidents, and safety-related non-compliances, including mechanisms for corrective and preventive actions.

10. A statement describing any lands and servitudes which the applicant has acquired or proposes to acquire in relation to the proposed activities;

11. An outline statement relating to the activities which the applicant hopes to carry out under the licence, and such statement should include -

(a) particulars of the person or persons from whom, and the points at which, the applicant expects to receive the electricity intended to be transmitted;

(b) particulars of transmission of the electricity and of the expected points of off-take, and quantities;

(c) proposed metering arrangements;

(d) forecast of annual maximum demands in the transmission system (GW) and energy (GWh) to be transmitted;

(e) summary of the large-scale map, together with detailed maps providing information on areas where activities are concentrated as necessary;

(f) a single line diagram of the transmission system/network, clearly distinguishing overhead lines from those that are or will be placed underground, and indicating points of connection to major loads and power stations;

(g) network agreements with Distribution Licensee;

(h) number, names, lengths and capacities of transmission sub-stations;

(i) information identifying the voltages of the electric lines forming part of the intended transmission system;

(j) details of communication and supervisory control and data acquisition (SCADA) facilities available;

(k) details of protection schemes, control philosophy, and coordination with system protection standards;

(l) details of transmission network planning criteria, development methodology, and alignment with national electricity system planning instruments;

(m) a statement describing asset management, maintenance, reliability standards, and contingency criteria applicable to the transmission system;

(n) details of operational, technical, and data interface arrangements with the National System Operator, including compliance with Grid Code and operational instructions;

(o) a list of network users connected or proposed to be connected to the transmission system, including generators, distribution licensees, and large directly connected customers;

(p) a statement describing the policies, procedures, and contractual arrangements adopted to ensure transparent and non-discriminatory access to the transmission network for all eligible network users;

(q) details of cybersecurity, data protection, and information management arrangements applicable to transmission activities.

SCHEDULE E

PART I

Application Form for the Issue of an Additional Transmission Licence

1. Name of the applicant:
2. The legal status of the applicant:
3. Official address and the contact information of the applicant:
4. Name, address and place of incorporation of a parent company (if any):
5. Full names of all the current members of the governing body of the applicant:
6. The names of all the shareholders of the company and the number of shares held by each such member, if applicable:
7. Details of the ultimate beneficial owner(s) of the applicant, if different from the shareholders listed above, if applicable:
8. Name and designation of the person to whom enquiries may be addressed in relation to this application, together with an address for correspondence (if different from item 3 above) and other contact details:
9. Desired date from which the licence is to become operative:
10. Details of approvals obtained or proposed to be obtained, and agreements executed or proposed to be executed, in respect of the activities for which the licence is being applied:
11. Provide details of any previous or current application made by the applicant for the issue of a licence under the Sri Lanka Electricity Act, No. 20 of 2009 and the Sri Lanka Electricity Act, No. 36 of 2024, and where applicable, state:
    (a) where a licence was issued, details of the licence granted and all activities carried out by the applicant under such licence;
    (b) where an application was rejected, the reasons for such rejection;
    (c) where a licence was revoked or withdrawn, the reasons for such revocation or withdrawal; and
    (d) where an application is pending or under consideration, the current status of such application.

PART II

Documents to Accompany an Application made for the Issue of an Additional Transmission Licence

1. A certified copy of the certificate of incorporation issued and of the memorandum and articles of association of the company;
2. The following documents as and where applicable -
    (a) annual returns for the immediately preceding year;
    (b) statement of account for the current year; and
    (c) audited accounts of the previous two years.

3. Details of technical expertise and human resources available with the applicant, including key operational personnel, to enable it to carry on its activities in respect of which the licence is being applied for;

4. Estimates of net annual cash flows, including costs, sales, and revenues, for the entire duration of the licence, sufficient to demonstrate the financial viability and feasibility of the activities under such licence;

5. Details of project financing and available funding for the duration of the licence, adequate to support the applicant in carrying out the proposed activities, together with the assumptions on which the figures are based;

6. Details of any expected substantial capital outflows, including major rehabilitation or decommissioning costs;

7. Any parent company or bank guarantees in favour of or given by the applicant;

8. Details of any direct or indirect ownership, control, or affiliation with entities engaged in electricity generation, transmission, distribution, trading or procurement;

9. A statement outlining how the applicant proposes to comply with and adhere to all applicable technical and regulatory instruments, including regulations, rules, codes, methodologies, standards, manuals, and similar requirements;

10. Provide details of the applicant's internal safety governance arrangements in relation to the proposed activities, including -

    (a) the overall safety management framework and policies in place;

    (b) the organizational structure for safety, clearly identifying roles, responsibilities, and lines of accountability; and

    (c) the systems and procedures established for the identification, reporting, investigation, and review of electrical accidents, incidents, and safety-related non-compliances, including mechanisms for corrective and preventive actions.

11. A statement describing any lands and servitudes which the applicant has acquired or proposes to acquire in relation to the proposed activities;

12. An outline statement relating to the activities which the applicant hopes to carry out under the licence, and such statement should include -

    (a) particulars of the person or persons from whom, and the points at which, the applicant expects to receive the electricity intended to be transmitted;

    (b) particulars of transmission of the electricity and of the expected points of off-take, and quantities;

    (c) proposed metering arrangements;

    (d) forecast of annual maximum demands in the transmission system (GW) and energy (GWh) to be transmitted;

    (e) summary of the large-scale map, together with detailed maps providing information on areas where activities are concentrated as necessary;

    (f) a single line diagram of the transmission system/network, clearly distinguishing overhead lines from those that are or will be placed underground, and indicating points of connection to major loads and power stations;

(g) network agreements with Distribution Licensee;

(h) number, names, lengths and capacities of transmission sub-stations;

(i) details of communication and supervisory control and data acquisition (SCADA) facilities available;

(j) details of protection schemes, control philosophy, and coordination with system protection standards;

(k) information identifying the voltages of the electric lines forming part of the intended transmission systems;

(l) a list of network users connected or proposed to be connected to the transmission system, including generators, distribution licensees, and large directly connected customers;

(m) a statement describing asset management, maintenance, reliability standards, and contingency criteria applicable to the transmission system;

(n) details of operational, technical, and data interface arrangements with the National System Operator, including compliance with Grid Code and operational instructions;

(o) details of coordination, integration, and interface arrangements with the National Transmission Network Service Provider, including asset responsibility boundaries and operational protocols;

(p) a statement describing the policies and procedures adopted to ensure non-discriminatory access to the transmission network for eligible network users.

SCHEDULE F

PART I

Application Form for the Issue of an Electricity Line Licence

1. Name of the applicant:

2. The legal status of the applicant:

3. Official address and the contact information of the applicant:

4. Name, address and place of incorporation of a parent company (if any):

5. Full names of all the current members of the governing body of the applicant:

6. The names of all the shareholders of the company and the number of shares held by each such member, if applicable:

7. Details of the ultimate beneficial owner(s) of the applicant, if different from the shareholders listed above, if applicable:

8. Details of any direct or indirect ownership, control, or affiliation with entities engaged in electricity generation, transmission, distribution, trading or procurement:

9. Name and designation of the person to whom enquiries may be addressed in relation to this application, together with an address for correspondence (if different from item 3 above) and other contact details:

10. Desired date from which the licence is to become operative:

11. Details of approvals obtained or proposed to be obtained, and agreements executed or proposed to be executed, in respect of the activities for which the licence is being applied:

12. Provide details of any previous or current application made by the applicant for the issue of a licence under the Sri Lanka Electricity Act, No. 20 of 2009 and the Sri Lanka Electricity Act, No. 36 of 2024, and where applicable, state -

    (a) where a licence was issued, details of the licence granted, and all activities carried out by the applicant under such licence;

    (b) where an application was rejected, the reasons for such rejection;

    (c) where a licence was revoked or withdrawn, the reasons for such revocation or withdrawal; and

    (d) where an application is pending or under consideration, the current status of such application.

PART II

Documents to Accompany an Application made for the Issue of an Electricity Line Licence

1. If the applicant is -

    (a) a body established by an Act of parliament, a copy of such Act;

    (b) a company, a certified copy of the certificate of incorporation issued and of the memorandum and articles of association of the company;

    (c) a society registered under the Co-operative Societies Law, No. 5 of 1972, a certified copy of the certificate of registration issued and of the by-laws of such society;

    (d) a partnership, a certified copy of the certificate of registration issued by an appropriate authority under any law or Statute relating to the registration of business names; or

    (e) any unincorporated body of persons, the constitution of such unincorporated body.

2. The following documents as and where applicable -

    (a) annual returns for the immediately preceding year;

    (b) statement of account for the current year; and

    (c) audited accounts of the previous two years.

3. Details of technical expertise and human resources available with the applicant, including key operational personnel, to enable it to carry on its activities in respect of which the licence is being applied for;

4. Estimates of net annual cash flows, including costs, sales, and revenues, for the entire duration of the licence, sufficient to demonstrate the financial viability and feasibility of the activities under such licence;

5. Details of project financing and available funding for the duration of the licence, adequate to support the applicant in carrying out the proposed activities, together with the assumptions on which the figures are based;

6. Details of any expected substantial capital outflows, including major rehabilitation or decommissioning costs;

7. Any parent company or bank guarantees in favour of or given by the applicant;

8. A statement outlining how the applicant proposes to comply with and adhere to all applicable technical and regulatory instruments, including regulations, rules, codes, methodologies, standards, manuals, and similar requirements;

9. Provide details of the applicant's internal safety governance arrangements in relation to the proposed activities, including -

   (a) the overall safety management framework and policies in place;

   (b) the organizational structure for safety, clearly identifying roles, responsibilities, and lines of accountability; and

   (c) the systems and procedures established for the identification, reporting, investigation, and review of electrical accidents, incidents, and safety-related non-compliances, including mechanisms for corrective and preventive actions.

10. A statement describing any lands and servitudes which the applicant has acquired or proposes to acquire in relation to the proposed activities;

11. An outline statement relating to the activities which the applicant hopes to carry out under the licence, and such statement should include –

   (a) particulars of the person or persons from whom, and the points at which, the applicant expects to receive the electricity intended to be transmitted;

   (b) particulars of transmission of the electricity and of the expected points of off-take, and quantities;

   (c) proposed metering arrangements;

   (d) forecast of annual maximum demands in the transmission system (GW) and energy (GWh) to be transmitted;

   (e) summary of the large-scale map, together with detailed maps providing information on areas where activities are concentrated as necessary;

   (f) a single line diagram of the transmission system/network, clearly distinguishing overhead lines from those that are or will be placed underground, and indicating points of connection to major loads and power stations;

   (g) network agreements with Distribution Licensee, if applicable;

   (h) number, names, lengths and capacities of transmission line;

   (i) details of communication and supervisory control and data acquisition (SCADA) facilities available;

   (j) details of protection schemes, control philosophy, and coordination with system protection standards;

   (k) information identifying the voltages of the electric lines forming part of the intended transmission system;

(l) a statement describing asset management, maintenance, reliability standards, and contingency criteria applicable to the transmission system;

(m) details of operational, technical, and data interface arrangements with the National System Operator, including compliance with Grid Code and operational instructions, if applicable;

(n) a list of network users connected or proposed to be connected to the transmission system, including generators, distribution licensees, and large directly connected customers.

SCHEDULE G

PART I

Application Form for the Issue of Distribution and Supply Licence

CATEGORY A *- Where the applicant is a company incorporated under the Conversion of Public Corporations or Government owned Business undertakings into Public Companies Act, No.23 of 1987 or the Companies Act, No. 07 of 2007, as the case may be –*

1. Name of the applicant:

2. The legal status of the applicant:

3. Official address and the contact information of the applicant:

4. Full names of all the current members of the governing body of the applicant:

5. The names of all the shareholders of the company and the number of shares held by each such member:

6. Name and designation of the person to whom enquires may be addressed in relation to this application, together with an address for correspondence (if different from item 3 above) and other contact details:

7. Desired date on which the licence is to become operative:

8. Details of approvals obtained or proposed to be obtained, and agreements executed or proposed to be executed, in respect of the activities for which the licence is being applied:

9. A list of the administrative districts included (in whole or in part) in the geographical areas on which the applicant seeks to operate its activities on the licence to which the application relates:

10. Provide details of any previous or current application made by the applicant for the issue of a licence under the Sri Lanka Electricity Act, No. 20 of 2009 and the Sri Lanka Electricity Act, No. 36 of 2024, and where applicable, state -

    (a) where a licence was issued, details of the licence granted and all activities carried out by the applicant under such licence;

    (b) where an application was rejected, the reasons for such rejection;

    (c) where a licence was revoked or withdrawn, the reasons for such revocation or withdrawal; and

    (d) where an application is pending or under consideration, the current status of such application.

CATEGORY B — *Where the applicant is a society registered under the Co-operative Societies Law, No. 5 of 1972* -

1. Name of the applicant:

2. The legal status of the applicant:

3. Official address and the contact information of the applicant:

4. Full names of all the current members of the governing body of the applicant:

5. Date of registration as a registered society under the Co-operative Societies Law, No. 5 of 1972:

6. Details of any direct or indirect ownership, control, or affiliation with entities engaged in electricity generation, transmission, trading or procurement:

7. Name and designation of the person to whom enquires may be addressed in relation to this application, together with an address for correspondence (if different from item 3 above) and other contact details:

8. Desired date on which the licence is to become operative:

9. Details of approvals obtained or proposed to be obtained, and agreements executed or proposed to be executed, in respect of the activities for which the licence is being applied:

10. A list of the administrative districts included (in whole or in part) in the geographical areas on which the applicant seeks to operate its activities on the licence to which the application relates:

11. Provide details of any previous or current application made by the applicant for the issue of a licence under the Sri Lanka Electricity Act, No. 20 of 2009 and the Sri Lanka Electricity Act, No. 36 of 2024, and where applicable, state -

    (a) where a licence was issued, details of the licence granted and all activities carried out by the applicant under such licence;

    (b) where an application was rejected, the reasons for such rejection;

    (c) where a licence was revoked or withdrawn, the reasons for such revocation or withdrawal; and

    (d) where an application is pending or under consideration, the current status of such application.

PART II

Documents to Accompany an Application made for the Issue of A Distribution and Supply Licence (Category A and B)

1. If the applicant is -

    (a) a company, a certified copy of the certificate of incorporation issued and of the memorandum and articles of association of the company; or

    (b) a society registered under the Co-operative Societies Law, No. 5 of 1972, a certified copy of the certificate of registration issued and of the by-laws of such society.

2. The following documents as and where applicable -

   (a) annual returns for the immediately preceding year;

   (b) statement of account for the current year; and

   (c) audited accounts of the previous two years.

3. Details of technical expertise and human resources available with the applicant, including key operational personnel, to enable it to carry on its activities in respect of which the licence is being applied for;

4. Estimates of net annual cash flows, including costs, sales, and revenues, for a period of three years, sufficient to demonstrate the financial viability and feasibility of the activities under such licence;

5. Details of project financing and available funding for the ongoing and committed projects, adequate to support the applicant in carrying out the proposed activities, together with the assumptions on which the figures are based;

6. Details of any expected substantial capital outflows, including major rehabilitation or decommissioning costs;

7. Any parent company or bank guarantees in favour of or given by the applicant;

8. A statement outlining how the applicant proposes to comply with and adhere to all applicable technical and regulatory instruments, including regulations, rules, codes, methodologies, standards, manuals, and similar requirements;

9. Provide details of the applicant's internal safety governance arrangements in relation to the proposed activities, including -

   (a) the overall safety management framework and policies in place;

   (b) the organizational structure for safety, clearly identifying roles, responsibilities, and lines of accountability; and

   (c) the systems and procedures established for the identification, reporting, investigation, and review of electrical accidents, incidents, and safety-related non-compliances, including mechanisms for corrective and preventive actions.

10. An outline statement relating to the activities which the applicant hopes to carry out under the licence, and such statement should include -

   (a) particulars of the person or persons from whom the applicant intends to purchase or procure electricity for distribution and approximate number and expected maximum demand of customers giving a breakdown of the demand for each type of customer *e.g.* industrial, commercial etc. and the energy (GWh) to be supplied;

   (b) detailed map or maps to a scale sufficient to show clearly -

      (i) the area to which the application relates;

      (ii) the distribution system 11kV and above, showing overhead lines, underground lines, and substations; and

      (iii) which overhead lines, underground cables and substations are to be constructed and which are already in existence.

(c) single line diagram of the distribution system, showing what is to be constructed and what is already in existence;

(d) details of embedded generators including rooftop solar generators;

(e) annual forecast of maximum demand in MW and energy in GWh;

(f) information relating to the location of interconnections with other distribution licencees;

(g) provide details on the availability of consumer service arrangements, including complaint handling procedures, consumer protection measures, and compliance with consumer-related codes and regulations;

(h) proposed distribution capacity (MW) or which the licence is being applied for;

(i) a statement describing the policies, procedures, and contractual arrangements adopted to ensure transparent and non-discriminatory access to the distribution network for all eligible network users;

(j) a statement describing the applicant's strategies and targets for technical and commercial loss reduction and efficiency improvement within the distribution network;

(k) details of cybersecurity, data protection, and information management arrangements applicable to distribution and supply activities.

SCHEDULE H

PART I

Application Form for the Issue of a Trading Licence

1. Name of the applicant:

2. The legal status of the applicant:

3. Official address and the contact information of the applicant:

4. Name, address and place of incorporation of a parent company (if any):

5. Full names of all the current members of the governing body of the applicant:

6. The names of all the shareholders of the company and the number of shares held by each such member, if applicable:

7. Details of the ultimate beneficial owner(s) of the applicant, if different from the shareholders listed above, if applicable:

8. Details of any direct or indirect ownership, control, or affiliation with entities engaged in electricity transmission, distribution, trading or procurement:

9. Name and designation of the person to whom enquires may be addressed in relation to this application, together with an address for correspondence (if different from item 3 above) and other contact details:

10. Desired date on which the licence is to become operative:

11. Details of approvals obtained or proposed to be obtained, and agreements executed or proposed to be executed, in respect of the activities for which the licence is being applied:

12. Provide details of any previous or current application made by the applicant for the issue of a licence under the Sri Lanka Electricity Act, No. 20 of 2009 and the Sri Lanka Electricity Act, No. 36 of 2024, and where applicable, state -

    (a) where a licence was issued, details of the licence granted and all activities carried out by the applicant under such licence;

    (b) where an application was rejected, the reasons for such rejection;

    (c) where a licence was revoked or withdrawn, the reasons for such revocation or withdrawal; and

    (d) where an application is pending or under consideration, the current status of such application.

PART II

Documents to Accompany an Application made for the Issue of a Trading Licence

CATEGORY A

Applicants engaged in the resale of electricity within condominiums or high-rise buildings, where such resale is strictly confined to specified premises, assets, and authorised consumers.

1. If the applicant is -

    (a) a body established by an Act of parliament, a copy of such Act;

    (b) a company, a certified copy of the certificate of incorporation and of the memorandum and articles of association of the company;

    (c) a society registered under the Co-operative Societies Law, No. 5 of 1972, a certified copy of the certificate of registration issued and of the by-laws of such society;

    (d) a partnership, a certified copy of the certificate of registration issued by an appropriate authority under any law or Statute relating to the registration of business names; or

    (e) any unincorporated body of persons, the constitution of such unincorporated body.

2. The following documents as and where applicable -

    (a) annual returns for the immediately preceding year;

    (b) statement of account for the current year; and

    (c) audited accounts of the previous two years.

3. Details of technical expertise and human resources available with the applicant, including key operational personnel, to enable it to carry on its activities in respect of which the licence is being applied for;

4. Estimates of net annual cash flows, including costs, sales, and revenues, for the entire duration of the licence, sufficient to demonstrate the financial viability and feasibility of the activities under such licence;

5. Details of project financing and available funding for the duration of the licence, adequate to support the applicant in carrying out the proposed activities, together with the assumptions on which the figures are based;

6. Details of any expected substantial capital outflows, including major rehabilitation or decommissioning costs;

7. Any parent company or bank guarantees in favour of or given by the applicant;

8. A statement outlining how the applicant proposes to comply with and adhere to all applicable technical and regulatory instruments, including regulations, rules, codes, methodologies, standards, manuals, and similar requirements;

9. Provide details of the applicant's internal safety governance arrangements in relation to the proposed activities, including -

   (a) the overall safety management framework and policies in place;

   (b) the organizational structure for safety, clearly identifying roles, responsibilities, and lines of accountability; and

   (c) the systems and procedures established for the identification, reporting, investigation, and review of electrical accidents, incidents, and safety-related non-compliances, including mechanisms for corrective and preventive actions.

10. A statement describing any lands and servitudes which the applicant has acquired or proposes to acquire in relation to the proposed activities;

11. Provide an outline statement describing the activities the applicant intends to undertake under the licence as applicable. The statement shall include, but not be limited to, the following technical and operational information, as required by the Commission -

   (a) a description of the nature of electricity trading activities proposed to be undertaken, including the procurement of electricity in bulk from a licensed supplier or distribution licensee and its subsequent allocation to authorised consumers within the specified premises;

   (b) details of the upstream electricity supplier and confirmation that all electricity purchases shall be made only from duly licensed or authorised entities;

   (c) identification of the premises within which electricity resale is to be carried out, together with confirmation that such resale shall be strictly confined to the said premises;

   (d) if applicable, key plant and equipment, together with their ratings, including -

      (i) incoming electrical supply arrangements;

      (ii) transformation and power conversion systems;

      (iii) distribution system infrastructure;

      (iv) any associated equipment within the premises;

   (e) systems for control, metering, billing, and energy management, including associated communication and application systems;

   (f) software platforms and applications used for operation, monitoring, billing, and customer interface;

   (g) if applicable, technical drawings and schematics, including single-line diagrams and layout plans;

   (h) details of end-consumers, including the nature, categories, and estimated number of consumers to be served;

   (i) if applicable, details of land required for the proposed activities of the applicant.

CATEGORY B

Applicants engaged in the resale of electricity through Electric Vehicle (EV) charging stations

1. If the applicant is -

   (*a*) a body established by an Act of parliament, a copy of such Act;

   (*b*) a company, a certified copy of the certificate of incorporation and of the memorandum and articles of association of the company;

   (*c*) a society registered under the Co-operative Societies Law, No. 5 of 1972, a certified copy of the certificate of registration issued and of the by-laws of such society;

   (*d*) a partnership, a certified copy of the certificate of registration issued by an appropriate authority under any law or Statute relating to the registration of business names;

   (*e*) any unincorporated body of persons, the constitution of such unincorporated body; or

   (*f*) an individual, the certified copy of national identity card or passport.

2. The following documents as and where applicable -

   (*a*) annual returns for the immediately preceding year;
   (*b*) statement of account for the current year; and
   (*c*) audited accounts of the previous two years.

3. Details of technical expertise and human resources available with the applicant, including key operational personnel, to enable it to carry on its activities in respect of which the licence is being applied for;

4. Estimates of net annual cash flows, including costs, sales, and revenues, for the entire duration of the licence, sufficient to demonstrate the financial viability and feasibility of the activities under such licence;

5. Details of project financing and available funding for the duration of the licence, adequate to support the applicant in carrying out the proposed activities, together with the assumptions on which the figures are based;

6. Details of any expected substantial capital outflows, including major rehabilitation or decommissioning costs;

7. Any parent company or bank guarantees in favour of or given by the applicant;

8. A statement outlining how the applicant proposes to comply with and adhere to all applicable technical and regulatory instruments, including regulations, rules, codes, methodologies, standards, manuals, and similar requirements;

9. Provide details of the applicant's internal safety governance arrangements in relation to the proposed activities, including -

   (a) the overall safety management framework and policies in place;

   (b) the organizational structure for safety, clearly identifying roles, responsibilities, and lines of accountability; and

   (c) the systems and procedures established for the identification, reporting, investigation, and review of electrical accidents, incidents, and safety-related non-compliances, including mechanisms for corrective and preventive actions.

10. A statement describing any lands and servitudes which the applicant has acquired or proposes to acquire in relation to the proposed activities;

11. Provide an outline statement describing the activities the applicant intends to undertake under the licence as applicable. The statement shall include, but not be limited to, the following technical and operational information, as required by the Commission -

(a) a description of the proposed electricity resale model through Electrical Vehicle (EV) charging services, including the purchase of electricity from licensed suppliers and its resale to Electrical Vehicle (EV) users through charging infrastructure;

(b) details of intended counterparties, including generators, suppliers, distribution licensees, or other licensed market participants, and confirmation that trading will be conducted only with licensed or authorised entities;

(c) identification of locations of Electric Vehicle (EV) charging stations;

(d) details of Electric Vehicle (EV) charging infrastructure, including -

(i) incoming electrical supply arrangements;

(ii) transformation and power conversion systems;

(iii) distribution system infrastructure;

(iv) Electric Vehicle (EV) charging equipment including types of chargers (AC/DC), power ratings and capacities, connector types and standards; and

(v) user interface, control, and communication systems;

(e) systems for control, metering, billing, and energy management, including associated communication and application systems;

(f) software platforms and applications used for operation, monitoring, billing, reservation (if applicable) and customer interface;

(g) safety systems and protection mechanisms, including emergency shutdown systems, fault detection, and user safety measures;

(h) if applicable, technical drawings and schematics, including single-line diagrams and layout plans;

(i) details of end-consumers, including expected usage patterns where available and estimated number of consumers to be served;

(j) if applicable, details of land required for the proposed activities of the applicant.

CATEGORY C

Applicants engaged in the purchase, storage, and subsequent resale of electricity through Battery Energy Storage Systems (BESS)

1. If the applicant is -

(*a*) a body established by an Act of Parliament, a copy of such Act;

(*b*) a company, a certified copy of the certificate of incorporation and of the Memorandum and Articles of Association of the company;

(*c*) a society registered under the Co-operative Societies Law, No. 5 of 1972, a certified copy of the certificate of registration issued and of the by-laws of such society;

(*d*) a partnership, a certified copy of the certificate of registration issued by an appropriate authority under any law or Statute relating to the registration of business names; or

(*e*) any unincorporated body of persons, the constitution of such unincorporated body.

2. The following documents as and where applicable -

(*a*) annual returns for the immediately preceding year;

(*b*) statement of account for the current year; and

(*c*) audited accounts of the previous two years.

3. Details of technical expertise and human resources available with the applicant, including key operational personnel, to enable it to carry on its activities in respect of which the licence is being applied for;

4. Estimates of net annual cash flows, including costs, sales, and revenues, for the entire duration of the licence, sufficient to demonstrate the financial viability and feasibility of the activities under such licence;

5. Details of project financing and available funding for the duration of the licence, adequate to support the applicant in carrying out the proposed activities, together with the assumptions on which the figures are based;

6. Details of any expected substantial capital outflows, including major rehabilitation or decommissioning costs;

7. Any parent company or bank guarantees in favour of or given by the applicant;

8. A statement outlining how the applicant proposes to comply with and adhere to all applicable technical and regulatory instruments, including regulations, rules, codes, methodologies, standards, manuals, and similar requirements;

9. Provide details of the applicant's internal safety governance arrangements in relation to the proposed activities, including -

(*a*) the overall safety management framework and policies in place;

(*b*) the organizational structure for safety, clearly identifying roles, responsibilities, and lines of accountability; and

(*c*) the systems and procedures established for the identification, reporting, investigation, and review of electrical accidents, incidents, and safety-related non-compliances, including mechanisms for corrective and preventive actions.

10. A statement describing any lands and servitudes which the applicant has acquired or proposes to acquire in relation to the proposed activities;

11. Provide an outline statement describing the activities the applicant intends to undertake under the licence as applicable. The statement shall include, but not be limited to, the following technical and operational information, as required by the Commission -

(a) a description of the proposed Battery Energy Storage System (BESS) activities, including-

(i) the intended purpose(s) of the Battery Energy Storage System (BESS), such as energy arbitrage, ancillary services, grid support, capacity services, or other services permitted under the Act;

(ii) whether the Battery Energy Storage System (BESS) will operate on a standalone basis or in coordination with other electricity facilities; and

(iii) whether the Battery Energy Storage System (BESS) will be self-dispatched or operated under the dispatch instructions of the System Operator or other authorised entity.

(b) details of intended counterparties for energy or services transactions, including generators, suppliers, distribution licensees, the System Operator, or other licensed or authorised market participants, together with confirmation that all trading and service provision will be conducted only with entities authorised under the Act;

(c) key plant and equipment details, including -

(i) installed power capacity (MW) and energy capacity (MWh);

(ii) storage duration and operational characteristics;

(iii) battery technology type, system configuration, and power conversion systems;

(iv) expected operational life, degradation assumptions, and efficiency parameters;

(v) incoming electrical supply and export arrangements;

(vi) user interface, control, protection, and communication systems;

(vii) country of origin of the Battery Energy Storage System (BESS) and major components; and

(viii) name and location of the manufacturing plant(s) and manufacturer details.

(d) details of grid interconnection and system interface arrangements, including -

(i) point of interconnection and applicable grid code requirements;

(ii) transformation and power conversion arrangements;

(iii) control, dispatch, and communication interfaces with the System Operator or network licensee; and

(iv) metering arrangements for charging and discharging energy.

(e) description of systems used for -

(i) control and monitoring of the Battery Energy Storage Systems (BESS);

(ii) energy management, metering, data acquisition, and reporting;

(iii) billing, settlement, and performance monitoring, where applicable;

(iv) associated communication and application systems; and

(v) details of software platforms and applications used for system operation, monitoring, data management, settlement, and regulatory reporting.

(f) where applicable, technical drawings and schematics, including single-line diagrams, layout plans, and system architecture diagrams;

(g) a description of the proposed commercial and revenue model, including the nature of services offered, remuneration mechanisms, settlement arrangements, and exposure to energy, ancillary service, or capacity markets, as applicable;

(h) an outline of the proposed decommissioning and end-of-life management arrangements for the Battery Energy Storage System (BESS), including battery reuse, recycling, disposal, and site restoration measures;

(i) details of testing and certification of the Battery Energy Storage Systems (BESS) and associated equipment, including type test certificates and compliance with recognised international or national standards and any other relevant technical certifications demonstrating conformity with applicable standards;

(j) details of warranties and guarantees provided by the manufacturer or supplier, including warranty period and scope of coverage, performance guarantees, including capacity retention, efficiency, and degradation limits, where applicable and provisions for repair, replacement, and defect liability.

SCHEDULE I

Declaration

I/We* hereby declare that,

1. I/We* shall at all times comply in every respect with the conditions attached to any licence that may be awarded to me/us* or as the same may be modified from time to time;

2. I/We* shall at all times comply with any decision, direction, order or determination made by the Public Utilities Commission of Sri Lanka in relation to the issue of the licence; and

3. The information provided by me/us* is accurate and complete in all respects.

.....
Full name(s), Designation(s) and Signature(s)

Date : .....

*Strike off what is inapplicable.

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